

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.**

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
20 December 2001 (20.12.2001)

PCT

(10) International Publication Number
WO 01/95726 A1

(51) International Patent Classification⁷: **A01N 65/00**
// (A01N 65/00, 49:00, 31:16, 27:00)

LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX,
MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL,
TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(21) International Application Number: PCT/GB01/02609

(22) International Filing Date: 14 June 2001 (14.06.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0014666.2 15 June 2000 (15.06.2000) GB
0015109.2 20 June 2000 (20.06.2000) GB

(71) Applicant (for all designated States except US): **SSL INTERNATIONAL PLC** [GB/GB]; Toft Hall, Knutsford, Cheshire WA16 9PD (GB).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **WHELAN, Ian** [GB/GB]; SSL International plc, Toft Hall, Knutsford, Cheshire WA16 9PD (GB).

(74) Agent: **JACOB, Reuben, Ellis**; Edward Evans & Co., Clifford's Inn, Fetter Lane, London EC4A 1BX (GB).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK,

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG)

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 01/95726 A1

(54) Title: PARASITICIDAL COMPOSITION

(57) Abstract: The invention relates to a parasiticide composition comprising a terpene or derivative thereof having parasiticide activity, a naturally occurring plant saponin and a physiologically acceptable carrier.

PARASITICIDAL COMPOSITION

The invention relates to a parasiticial composition and in particular to a composition for controlling headlice infestation in humans.

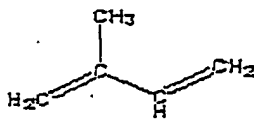
Lice infestation in man is generally caused by insects from the families *Pediculidae* and *Pthiridae*, in particular *Pediculus humanus* species and *Pthirus pubis*.

The control of parasite infestations such as headlice has recently been managed by mosaic policies, with insecticides from the groups consisting DDT, cyclodienes, organophosphates, carbamates and pyrethroids.

To ensure the availability of as many insecticidal treatments as possible there is a continuing requirement for novel insecticides to ensure suitable mosaic policies are maintained.

It is an object of the present invention to seek to provide an alternative novel insecticide for the treatment of headlice.

Terpenes are classed as a group of hydrocarbons that are made up of building blocks of isoprene or similar five-carbon units, with a monoterpene made up of two units (example: limonene and pinene), a sesquiterpene made up of three units (example: humulene,), and a diterpene made up of four units (example: phytol).



Isoprene

The Isoprene rule was first pointed out by Wallach (1887) and it was later elucidated by Ingold (1925) who discussed that isoprene units in natural terpenes were joined "head-to-tail".

The terpenes, in our context, are the primary constituents in the aromatic fractions of scented plants, generally essential oils, flavours and fragrances. The extraction and synthesis of compounds such as these is the basis for the perfumery industry and they find a variety of uses in the feed and pharmaceutical industry as flavour and odour improvers, such as Limonene and Terpineol.

Saponin molecules are a combination of a sugar chain attached to either a sterol or a triterpene. Their name is derived from their ability to form foams in water, which is a function of a molecule containing both water (sugar) and fat soluble (triterpene) components. They are found in many plants, but get their name from the soapwort plant. Saponins at a concentration of about 5.6% are frequently employed in soap, shampoo and bath salt formulation.

According to the invention there is provided a parasitocidal composition, comprising a terpene or derivative thereof having parasitocidal activity, a naturally occurring plant saponin, and a physiologically acceptable carrier.

The terpene or derivative may comprise one or more of d-limonene, geranyl acetate and eugenol, and the plant saponin may comprise one or more from the group consisting of Peru balsam, yucca, soapwort, ginseng and quillija.

It is preferred that the composition is adapted for topical application to a subject. It is particularly preferred that the composition is adapted for application as a lotion or mousse for the hair.

The composition may comprise an alcohol, such as isopropanol and/or ethanol, and the physiologically acceptable carrier may comprise the alcohol.

The composition may comprise at least about 1.0% v/v terpene or derivative.

It is preferred that the composition is for use in the treatment and/or prevention of human infestation by parasites from the families *Pediculidae* and *Pthiridae*.

According to a further aspect of the invention there is provided a process for preparing a parasitocidal composition, which comprises bringing a terpene or derivative thereof and a naturally occurring plant saponin into association with at least one physiologically acceptable carrier therefor.

According to a yet further aspect of the invention there is provided the use of a terpene or derivative thereof and a plant saponin in the manufacture of a composition for use in controlling parasite infestations in humans, in particular infestation by parasites from the families *Pediculidae* and *Pthiridae*.

The invention will further be described by way of illustration by reference to the following experiments.

Method of Testing the Pediculicidal Activity of a Composition.

A composition comprising eugenol and the saponin soapwort was prepared. In preparation for use the stock chemicals were diluted to the appropriate level using 60% propan-2-ol (isopropyl alcohol, isopropanol) diluted with 40% distilled water.

Solutions of the eugenol and soapwort were made on a weight for weight basis (w/w) in the alcohol vehicle.

Measurement of Pediculicidal Activity by Immersion.

Human lice, *pediculus humanus*, were obtained from the culture colony maintained by the Medical Entomology Centre. Adult female and male lice, in approximately equal numbers, were used for each test. The lice were fed on the morning of the test and allowed a minimum of 4 hours to recover, during which time they were able to excrete excess water imbibed with their blood meal. Lice were counted into batches that were provided with squares of an open meshed nylon gauze (tulle), as a substrate upon which to stand, and each batch allocated to a marked 30 millimetre plastic Petri dish.

For the test procedure an aliquot of approximately 5 millilitres of the test solution was poured into the base of a clean 30 millimetre plastic Petri dish. The gauze bearing lice was immersed in the fluid for 10 seconds, during which time the gauze was turned at least twice to ensure removal of air bubbles. After removal from the fluid the gauze and insects were lightly blotted to remove

excess fluid and returned to their marked Petri dish. The same procedure was repeated for the other replicate gauze squares in that batch.

Gauze squares bearing lice were incubated under normal maintenance conditions ($30^{\circ} \pm 2^{\circ}$ Celsius and $50\% \pm 15\%$ relative humidity) for the remainder of the test period. At the end of exposure period the insects and gauze were washed using a bland toiletry shampoo (Boots frequent wash shampoo) diluted one part shampoo with fourteen parts water (FWS 1:15) after which they were rinsed three times using 250 millilitres of warm (34° Celsius) tap water poured through and over the gauze squares. They were then blotted dry using medical wipe tissue and incubated under normal maintenance conditions in clean plastic Petri dishes of the appropriate size until the results were recorded.

For these tests lice were exposed for 2 hours.

A control comparison test was performed using the 60% propan-2-ol (isopropanol) solvent, which is routinely used in our laboratory and causes minimum mortality to lice, in place of the test solution and a 0.5% eugenol solution. All other procedures for this comparator were the same as for the test groups.

The results of tests against lice were recorded after 24 hours.

Results

Activity of the test solution against lice was effectively complete with 0.5% solutions. Dead lice showed signs of dehydration and most had burst guts so that they took on a dark red colour throughout the tissues.

Treatment	Replicate	Number of lice			Mortality %
		Total	Killed	Moribund	
10% (water)					
Soapwort	1	21	1	2	15
Peru Balsam	1	20	0	3	15
Yucca	1	20	1	1	10
Eugenol 0.5%	1	21	3	3	36.6
0.5% Eugenol with Soapwort	1	21	18	3	100

Mortality percentages were corrected by Abbott's formula. The percent of mortality in a control was subtracted from the percent mortality in the test and then divided by the percent mortality in the test.

Formulation

Using the results of these tests, formulations having parasitocidal activity were prepared as follows:

Lotion Formulation

Eugenol	0.5%
Soapwort	0.5%
Water	30.0%

Isopropyl Alcohol to 100%

Mousse Formulation

Eugenol	0.5%
Soapwort	0.5%
Polawax	4.0%
Crodamol DA	2.0%
Propylene Glycol	2.0%
Polysorbate 60	1.0%
Sodium Lauryl Sulphate	0.5%
Isopropyl Alcohol	5.0%
Water	79.5%
Butane	5.0%

Thus, formulations which can be prepared in accordance with this invention include lotions and mousses as well as, potentially, other hair treatments. The precise nature and qualities of additional constituents which are required will vary according to the desired properties of the final product. The skilled worker will be familiar with such constituents and their usage, which can include, for example, surfactants, silicone compounds, suspending agents colourings and perfumes.

CLAIMS:

1. A parasitocidal composition, comprising a terpene or derivative thereof having parasitocidal activity and a naturally occurring plant saponin in a physiologically acceptable carrier.
2. A composition according to claim 1, wherein the terpene comprises one or more of d-limonene, geranyl acetate and eugenol.
3. A composition according to claim 1 or claim 2, wherein the saponin comprises one or more of peru balsam, yucca, soapwort, ginseng or quillija.
4. A composition according to any preceding claim, adapted for topical application to a subject.
5. A composition according to claim 4, adapted for application as a lotion or a mousse.
6. A composition according to any preceding claim, further comprising alcohol.
7. A composition according to claim 6, wherein the physiologically acceptable carrier comprises the alcohol.
8. A composition according to claim 6 or claim 7, the alcohol comprising isopropanol and/or ethanol.

9. A composition according to any preceding claim, comprising at least about 0.5% v/v terpene or derivative thereof and 0.5% plant saponin.
10. A composition according to any preceding claim, for use in the treatment and/or prevention of human infestation by parasites from the families *Pediculidae* and *Pthiridae*.
11. A composition according to any preceding claim, comprising a terpene or derivative thereof, a naturally occurring plant saponin, water and isopropyl alcohol in the form of a lotion.
12. A composition according to any of claims 1 to 10, comprising a terpene or derivative thereof, a naturally occurring plant saponin, polawax, crodamol DA, propylene glycol, polysorbate 60, sodium lauryl sulphate, isopropyl alcohol, water and butane in the form of a mousse.
13. A process for preparing the parasitocidal composition claimed in any of claims 1 to 12, which comprises bringing a terpene or derivative thereof having parasitocidal activity and a plant saponin into association with at least one physiologically acceptable carrier therefor.
14. A process according to claim 13, wherein the composition comprises a lotion or mousse.

15. A process according to claim 13 or claim 14, further including the step of bringing the terpene or derivative and plant saponin into contact with an alcohol.
16. A process according to any of claims 13 to 15 which is a process for preparing a composition for use in the treatment of human infestation by parasites from the families *Pediculidae* and *Pthiridae*.
17. The use of a terpene or derivative thereof having parasitocidal activity and a plant saponin in the manufacture of a composition for use in the treatment of parasite infestation.
18. The use according to claim 17, wherein the composition is for use in the treatment of infestation in humans by parasites from the families *Pediculidae* and *Pthiridae*.

INTERNATIONAL SEARCH REPORT

national Application No

PCT/GB 01/02609

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A01N65/00 //(A01N65/00,65:00,49:00,31:16,27:00)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

CHEM ABS Data, EPO-Internal, PAJ, WPI Data, BIOSIS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 977 186 A (FRANKLIN LANNY UDELL) 2 November 1999 (1999-11-02) column 1, paragraphs 2,4,5 column 7, paragraph 1 ---	1-18
X	EP 0 495 684 A (CLILCO LTD) 22 July 1992 (1992-07-22) the whole document ---	1-18
X,Y	GB 1 467 419 A (INCHCAPE CHEMCO LTD) 16 March 1977 (1977-03-16) the whole document ---	1-18
X	WO 98 27812 A (EMERSON RALPH W ;PROGUARD INC (US); CRANDALL BRADFORD G JR (US)) 2 July 1998 (1998-07-02) page 21, paragraph 1; claims 17-20 --- -/--	1-18



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents:

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search

27 July 2001

Date of mailing of the international search report

13/08/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Bertrand, F

INTERNATIONAL SEARCH REPORT

ational Application No

PCT/GB 01/02609

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	A.Y.LEUNG,S.FOSTER: "Encyclopedia of Common Natural Ingredients used in food, drugs and cosmetics" 1996 , JOHN WILEY & SONS, INC. XP002173347	1,3,4
Y	page 277 -page 281 ----	1-18
X	S.DHARMANANDA: "Platycodon and other Chinees herbs with triterpene glycosides" INTERNET ARTICLE, 'Online! XP002173346 Retrieved from the Internet: <URL:http://www.itmonline.org/pdf/platygly .pdf> 'retrieved on 2001-07-27! the whole document -----	1,3,4

INTERNATIONAL SEARCH REPORT

Information on patent family members

national Application No

PCT/GB 01/02609

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5977186 A	02-11-1999	AU 2340699 A	09-08-1999
		BR 9907243 A	17-10-2000
		CN 1289230 T	28-03-2001
		EP 1051071 A	15-11-2000
		NO 20003607 A	13-07-2000
		WO 9937148 A	29-07-1999
		US 6130253 A	10-10-2000
EP 0495684 A	22-07-1992	AU 659625 B	25-05-1995
		AU 1013992 A	23-07-1992
		CA 2059414 A	19-07-1992
		IL 100641 A	29-12-1994
		MX 9200222 A	01-08-1992
		US 5411992 A	02-05-1995
		US 5227163 A	13-07-1993
		ZA 9200342 A	30-09-1992
GB 1467419 A	16-03-1977	AT 334684 B	25-01-1976
		AT 229674 A	15-05-1976
		AU 6687374 A	25-09-1975
		CA 1017669 A	20-09-1977
		CH 593607 A	15-12-1977
		DD 111541 A	20-02-1975
		DE 2413756 A	03-10-1974
		DK 138821 B	06-11-1978
		ES 424443 A	01-11-1976
		FR 2209511 A	05-07-1974
		HU 169549 B	28-12-1976
		LU 69666 A	04-02-1976
		NL 7403772 A	24-09-1974
		PH 10400 A	07-03-1977
		ZA 7401822 A	30-04-1975
WO 9827812 A	02-07-1998	US 5792467 A	11-08-1998
		AU 6013498 A	17-07-1998